



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,173	11/27/2001	Donald G Lemley	PB 00 0067

Kenneth J. Rudofski  
Tellabs Operations, Inc.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563



CONFIRMATION NO. 2083

## FORMALITIES LETTER



\*OC000000007192924\*

Date Mailed: 12/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

02/13/2002 WNDNRW1 1760-01L 570634 09592173

01 FD-105 129-76-77

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002 OMB 0651-0031  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/996,173
Filing Date	November 27, 2001
First Named Inventor	Donald G. Lemley, et al.
Group Art Unit	2661
Examiner Name	
Attorney Docket Number	PB 00 0067

Total Number of Pages in This Submission 16

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1 Executed Combined Declaration and Power of Attorney for Patent Application<br>2 Abstract of Disclosure<br>3 Post card<br>4 Copy of rec'd Notice to File Missing Parts of Nonprovisional Application |
|---|---|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth J. Rudofski, Tellabs Operations, Inc.
Signature	
Date	FEB. 8, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Sect 1.10 and is addressed to BOX MISSING PARTS, Asst Commissioner for Patents, Washington, D.C. 20231 on this date

FEB. 8, 2002

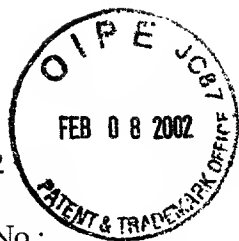
Typed or printed name	Janet Missig
Signature	

Date 2/8/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



February 8, 2002



Kenneth J. Rudofski  
Assistant General Counsel,  
Intellectual Property

One Tellabs Center  
1415 West Diehl Road  
Naperville, IL 60563 U.S.A.  
Tel: +1.630.798.3021  
Fax: +1.630.798.3231

Tellabs Docket No.:

PB 00 0067

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Presented for filing is a Response to Notice To File Missing Parts of Nonprovisional Application:

Applicants: Donald G. Lemley Timothy J. Doiron  
Kenneth G. Craft Alan S. Nakamoto  
William Kautz

Title: PACKET VOICE GATEWAY

Enclosed are the following papers:

- a. Response to Notice To File Missing Parts Of Nonprovisional Application
- b. Abstract of the Disclosure
- c. Executed Combined Declaration and Power of Attorney for Patent Application
- d. Copy of received Notice To File Missing Parts of Nonprovisional Application
- e. Transmittal Form
- f. Certificate of Mailing by "Express Mail" (ET 368 141 659 US)
- g. Fee Transmittal Form (in duplicate)
- h. Postage Paid Return Receipt Postcard
- i. Transmittal Letter

If this Response is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at 630-798-3021. Kindly acknowledge receipt of these documents by returning the enclosed postage paid postcard.

Please send all correspondence to:

Kenneth J. Rudofski  
Tellabs Operations, Inc.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563

Respectfully submitted,

Kenneth J. Rudofski

Enclosures



Attorney's Docket No. PB 00 0067

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Donald G. Lemley ) Group Art No. 2661  
Kenneth G. Craft )  
William Kautz ) Confirmation No. 2083  
Timothy J. Doiron )  
Alan S. Nakamoto )  
Serial No.: 09/996,173 )  
Date Filed: November 27, 2001 )  
Title: PACKET VOICE GATEWAY )

BOX MISSING PARTS  
Commissioner of Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b), applicants have enclosed herewith; (1) an executed Combined Declaration and Power of Attorney For Patent Application; (2) an abstract of the disclosure; (3) copy of the received Notice To File Missing Parts Of Nonprovisional Application; and (5) authorization to charge Deposit Account No. 500-654 to cover the appropriate fees for this response.

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 (Express Mail Label No. ET 368 141 659 US) on

Feb 8, 2002

Janet Missig  
Printed Name of Person Mailing Correspondence

Janet Missig  
Signature

Feb. 8, 2002  
Date

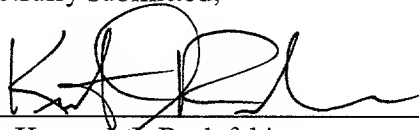
The executed combined Declaration and Power of Attorney for Patent Application conforms with the requirements set forth in 37 CFR § 1.53(f) and the abstract conforms with the requirements set out in 37 CFR 1.72(b). Applicants note that a filing date has already been granted for this application.

If any additional fee is required, please charge Deposit Account No. 500-654. A duplicate of the form, Fee Transmittal FY 2002, is enclosed to reflect this authorization.

Respectfully submitted,

Date: FEB 8, 2002

By:



Kenneth J. Rudofski  
Attorney for Applicants  
Registration No. 35,997



Under the Paperwork Reduction Project of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-01)  
Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00

## Complete if Known

Application Number	09/996,173
Filing Date	November 27, 2001
First Named Inventor	Donald G. Lemley, et al.
Examiner Name	
Group Art Unit	2661
Attorney Docket No.	PB 00 0067

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account.

Deposit Account Number 500-654

Deposit Account Name Tellabs Operations, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent			=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

## SUBMITTED BY

Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997	Telephone	630-798-3021
Signature		Date	FEB 8, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE